



# James Brearley

Investment Managers & Stockbrokers  
Established 1919

Member of the London Stock Exchange

Authorised & Regulated by the

Financial Conduct Authority

## ISA TRANSFER AUTHORITY

Please return this form to the Transfers Department at :-

James Brearley, PO Box 34, Walpole House, Unit 2 Burton Road, Blackpool, FY4 4WX. Tel: 01253 831100. Fax: 01253 831102

**PLEASE DO NOT SEND THIS FORM TO YOUR EXISTING ISA MANAGER.**

James Brearley will arrange the transfer directly with your existing ISA Manager.

|   |               |
|---|---------------|
| Name, Address and contact details of existing ISA Manager                       |               |
|   |               |
|   |               |
| Email Address:  | Telephone No: |
| Reference Number(s) of your existing ISA Account(s):                            |               |
| If your ISA is held with a Bank the sort code must be provided:      Sort Code: |               |

Dear Sirs,

Please accept this letter as authority to transfer my ISA portfolio to James Brearley, PO Box 34, Walpole House, Unit 2 Burton Road, Blackpool, FY4 4WX, any fees in relation to this transfer are to be taken from my account with you.

- ☐ Please transfer all of my ISA investments in specie. In respect of packaged products, please transfer these to the prevailing class of unit indicated by James Brearley. I hereby give additional authorisation for you to sell those investments that for whatever reason you are unable to transfer. Any cash held within my ISA portfolio should be sent to James Brearley & Sons following the transfer of my ISA investments.
- ☐ Please encash any investments held and transfer my entire ISA portfolio in cash.
- ☐ Please transfer part of my ISA portfolio (additional details below).

Enter additional information for partial transfer e.g. Specific holdings, amount of cash to be transferred.

I understand that you will be responsible for, and will account to me for any future dividends, tax reclaims, together with any other entitlements that are due to me. Please forward any monies due to me to James Brearley at the address noted above.

I authorise you to provide James Brearley with full details of my ISA Account(s) and any information that they may request following your receipt of this instruction.

Yours faithfully,

|  |            |
|--|------------|
| Signature:                                     | Date:      |
| Full Name (Block Capitals Please):<br>Address: | D.O.B:     |
| James Brearley Client Reference:               | NI Number: |

*Client Disclaimer: If some or all of your investments cannot be transferred and are therefore sold, this instruction does not fall within the James Brearley 'best execution policy' as detailed in the Services Terms and Conditions. The precise execution of this instruction by your current Nominee Administrator cannot be determined or controlled by James Brearley and as such James Brearley accepts no liability for any actual or perceived loss arising from any delay in the ultimate execution of your instruction.*

*Please note: If you elect to transfer a packaged product in specie to James Brearley, the investment may be converted into the prevailing class of unit that James Brearley hold for this investment. This may result in the ongoing charges for the investment being higher or lower than the class of unit held prior to transfer.*