



James Brearley

Investment Managers & Stockbrokers
Established 1919

Member of the London Stock Exchange
Authorised and Regulated by the
Financial Conduct Authority

NOMINEE TRANSFER AUTHORITY

Please return this form to the Transfers Department at :-
James Brearley, PO Box 34, Walpole House, Unit 2 Burton Road, Blackpool, FY4 4WX.
Tel: 01253 831100. Fax: 01253 831102

PLEASE DO NOT SEND THIS FORM TO YOUR EXISTING NOMINEE ADMINISTRATOR.
James Brearley will arrange the transfer directly with your existing Nominee Administrator.

Name & Address of existing Nominee Administrator
Reference Number(s) of your existing Nominee Account(s):

Dear Sirs,

Please accept this letter as authority to transfer my Nominee portfolio to James Brearley, PO Box 34, Walpole House, Unit 2 Burton Road, Blackpool, FY4 4WX, any fees in relation to this transfer are to be taken from my account with you.

☐ Please transfer all of my Nominee investments in specie. In respect of packaged products, please transfer these to the prevailing class of unit indicated by James Brearley. I hereby give additional authorisation for you to sell those investments that for whatever reason you are unable to transfer. Any cash held within my Nominee portfolio should be sent to James Brearley following the transfer of my Nominee investments.

☐ Please encash any investments held and transfer my entire Nominee portfolio in cash.

I understand that you will be responsible for, and will account to me for any future dividends, tax reclaims, together with any other entitlements that are due to me. Please forward any monies due to me by cheque to James Brearley at the address given above.

I authorise you to provide James Brearley with full details of my Nominee Account(s) and any information that they may request following your receipt of this instruction.

Yours faithfully,

	NI Number:	D.O.B:
First Name:		
Surname Name:		
Address:		
Email:		
Signature:	<div style="border: 1px solid black; height: 60px; width: 250px;"></div>	
Date:		

Client Disclaimer: I accept that by agreeing to transfer the assets within my Nominee account I will be unable to buy, sell or switch my underlying investments until the transfer is complete. If some or all of my investments cannot be transferred and therefore sold, the precise execution of this instruction by my existing Nominee Administrator cannot be determined or controlled by James Brearley and as such I accept that James Brearley accepts no liability for any actual or perceived loss arising from any delay in the ultimate execution of my instruction.

Please note: If you elect to transfer a packaged product in specie to James Brearley, the investment may be converted into the prevailing class of unit that James Brearley hold for this investment. This may result in the ongoing charges for the investment being higher or lower than the class of unit held prior to transfer.

Registered in England and Wales. Company Registration Number: 3705135
Registered Office: Walpole House, Unit 2, Burton Road, Blackpool FY4 4NW
James Brearley & Sons is the trading name of James Brearley & Sons Limited

V01/21

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