

MIFIDPRU 8.4 Own funds - Composition of regulatory own funds

	Item	Amount (GBP thousands)	Source based on reference numbers/letters of the balance sheet in the audited financial statements
1	OWN FUNDS	12761	Total of 3 + 20 +25 below
2	TIER 1 CAPITAL	12761	Total of 3 + 20 below
3	COMMON EQUITY TIER 1 CAPITAL	12761	Total of 4-19 below
4	Fully paid up capital instruments	17	Page 15 – note 28
5	Share premium	2145	Page 15 – note 29
6	Retained earnings	9188	Page 15 – note 33
7	Accumulated other comprehensive income		
8	Other reserves	1060	Page 15 – note 31
9	Adjustments to CET1 due to prudential filters		
10	Other funds	351	Page 15 – note 32
11	(-)TOTAL DEDUCTIONS FROM COMMON EQUITY TIER 1	0	
19	CET1: Other capital elements, deductions and adjustments	0	
20	ADDITIONAL TIER 1 CAPITAL	0	
21	Fully paid up, directly issued capital instruments		
22	Share premium		
23	(-) TOTAL DEDUCTIONS FROM ADDITIONAL TIER 1		
24	Additional Tier 1: Other capital elements, deductions and adjustments		
25	TIER 2 CAPITAL	0	
26	Fully paid up, directly issued capital instruments		
27	Share premium		
28	(-) TOTAL DEDUCTIONS FROM TIER 2		
29	Tier 2: Other capital elements, deductions and adjustments		

Own funds: reconciliation of regulatory own funds to balance sheet in the audited financial statements

Flexible template - rows to be reported in line with the balance sheet included in the audited financial statements of the investment firm.

Columns should be kept fixed, unless the investment firm has the same accounting and regulatory scope of consolidation, in which case the volumes should be entered in column (a) only.

Figures should be given in GBP thousands unless noted otherwise.

		a	b	c
		Balance sheet as in published/audited financial statements	Under regulatory scope of consolidation	Cross-reference to template OF1
		As at period end	As at period end	
Assets - Breakdown by asset classes according to the balance sheet in the audited financial statements				
1	Non-current - Property, Plant and Equipment and ROU asset	383		
2	Non-current - Other Receivables	194		
3	Non-current - Investments	6231		
4	Current - Trade and Other Receivables	2867		
5	Current - Tax recoverable	70		
6	Current - Cash and cash equivalents	5987		
xxx	Total Assets	15732		
Liabilities - Breakdown by liability classes according to the balance sheet in the audited financial statements				
1	Current – Trade and Other payables	2277		
2	Current – Tax liabilities	165		
3	Current – Lease liabilities	98		
4	Non-current – Lease liabilities	340		
5	Non-current – Deferred tax liabilities	4		
xxx	Total Liabilities	2884		
Shareholders' Equity				
1	Called up share capital	17		OF1 - 4
2	Share premium reserve	2145		OF1 - 5
3	Revaluation reserve	88		
4	Share option reserve	351		OF1 – 10
5	Capital redemption reserve	1060		OF1 – 8
6	Retained earnings	9188		OF1 - 6

xxx	Total Shareholders' equity	12849		
Own funds: main features of own instruments issued by the firm				
<i>Free text. A non-exhaustive list of example features is included below.</i>				
Shareholder Equity (1) - Called up share capital – private placement of Ordinary 1p shares.				
Examples				
Public or private placement				
Instrument type				
Amount recognised in regulatory capital (GBP thousands, as of most recent reporting date)				
Nominal amount of instrument				
Issue price				
Redemption price				
Accounting classification				
Original date of issuance				
Perpetual or dated				
Maturity date				
Issuer call subject to prior supervisory approval				
Optional call date, contingent call dates and redemption amount				
Subsequent call dates, if applicable				
Coupons/dividends				
Fixed or floating dividend/coupon				
Coupon rate and any related index				
Existence of a dividend stopper				
Convertible or non-convertible				
Write-down features				
Link to the terms and conditions of the instrument				

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