

<b>MIFIDPRU 8.4 Own funds - Composition of regulatory own funds</b>			
	<b>Item</b>	<b>Amount (GBP thousands)</b>	<b>Source based on reference numbers/letters of the balance sheet in the audited financial statements</b>
<b>1</b>	<b>OWN FUNDS</b>	11040	Total of 3 + 20 +25 below
<b>2</b>	<b>TIER 1 CAPITAL</b>	11040	Total of 3 + 20 below
<b>3</b>	<b>COMMON EQUITY TIER 1 CAPITAL</b>	11040	Total of 4-19 below
4	Fully paid up capital instruments	16	Page 14 – note 28
5	Share premium	2012	Page 14 – note 29
6	Retained earnings	7567	Page 14 – note 33
7	Accumulated other comprehensive income		
8	Other reserves	1060	Page 14 – note 31
9	Adjustments to CET1 due to prudential filters		
10	Other funds	385	Page 14 – note 32
11	(-)TOTAL DEDUCTIONS FROM COMMON EQUITY TIER 1	0	
19	CET1: Other capital elements, deductions and adjustments	0	
20	<b>ADDITIONAL TIER 1 CAPITAL</b>	0	
21	Fully paid up, directly issued capital instruments		
22	Share premium		
23	(-) TOTAL DEDUCTIONS FROM ADDITIONAL TIER 1		
24	Additional Tier 1: Other capital elements, deductions and adjustments		
25	<b>TIER 2 CAPITAL</b>	0	
26	Fully paid up, directly issued capital instruments		
27	Share premium		
28	(-) TOTAL DEDUCTIONS FROM TIER 2		
29	Tier 2: Other capital elements, deductions and adjustments		

**Own funds: reconciliation of regulatory own funds to balance sheet in the audited financial statements**

Flexible template - rows to be reported in line with the balance sheet included in the audited financial statements of the investment firm.

Columns should be kept fixed, unless the investment firm has the same accounting and regulatory scope of consolidation, in which case the volumes should be entered in column (a) only.

Figures should be given in GBP thousands unless noted otherwise.

		a	b	c
		<b>Balance sheet as in published/audited financial statements</b>	<b>Under regulatory scope of consolidation</b>	<b>Cross-reference to template OF1</b>
		<b>As at period end</b>	<b>As at period end</b>	
<b>Assets - Breakdown by asset classes according to the balance sheet in the audited financial statements</b>				
1	Non-current - Property, Plant and Equipment and ROU asset	566		
2	Non-current - Other Receivables	582		
3	Non-current - Investments	1954		
4	Current - Trade and Other Receivables	2625		
5	Current - Tax recoverable	99		
6	Current - Cash and cash equivalents	7990		
xxx	<b>Total Assets</b>	<b>13,816</b>		
<b>Liabilities - Breakdown by liability classes according to the balance sheet in the audited financial statements</b>				
1	Current – Trade and Other payables	2099		
2	Current – Tax liabilities	0		
3	Current – Lease liabilities	82		
4	Non-current – Lease liabilities	503		
5	Non-current – Deferred tax liabilities	8		
xxx	<b>Total Liabilities</b>	<b>2,692</b>		
<b>Shareholders' Equity</b>				
1	Called up share capital	16		OF1 - 4
2	Share premium reserve	2012		OF1 - 5
3	Revaluation reserve	83		
4	Share option reserve	386		OF1 – 10
5	Capital redemption reserve	1060		OF1 – 8
6	Retained earnings	7567		OF1 - 6

xxx	<b>Total Shareholders' equity</b>	<b>11,124</b>		
<b>Own funds: main features of own instruments issued by the firm</b>				
<i>Free text. A non-exhaustive list of example features is included below.</i>				
Shareholder Equity (1) - Called up share capital – private placement of Ordinary 1p shares.				
<b>Examples</b>				
Public or private placement				
Instrument type				
Amount recognised in regulatory capital (GBP thousands, as of most recent reporting date)				
Nominal amount of instrument				
Issue price				
Redemption price				
Accounting classification				
Original date of issuance				
Perpetual or dated				
Maturity date				
Issuer call subject to prior supervisory approval				
Optional call date, contingent call dates and redemption amount				
Subsequent call dates, if applicable				
Coupons/dividends				
Fixed or floating dividend/coupon				
Coupon rate and any related index				
Existence of a dividend stopper				
Convertible or non-convertible				
Write-down features				
Link to the terms and conditions of the instrument				

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