



Engagement Policy

New rules require us, when providing discretionary services, to set out a detailed engagement policy or explain why we have chosen not to produce an engagement policy.

Our firm does not have a formal engagement policy. Our view is that an engagement policy is more appropriate to firms providing discretionary services to large institutional clients such as pension funds or investment funds rather than discretionary services provided to retail clients. Our ability to engage with companies is limited as generally the proportion of shares held in a company is lower than those held by major institutions. Accordingly we do not believe it is appropriate for our firm to have a formal engagement policy.

April 2019

HEAD OFFICE:

Blackpool Tel: 01253 831100 Fax: 01253 831102

REGIONAL OFFICES:

Blackpool Tel: (01253) 831940 Fax: (01253) 831941
Southport Tel: (01704) 501511 Fax: (01704) 501512
Kendal Tel: (01539) 733979 Fax: (01539) 728704
Preston Tel: (01772) 318760 Fax: (01772) 318799

ONLINE SERVICES:

Blackpool Tel: (01253) 831211 Fax: (01253) 831151